# FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10 EXPRESS MAIL" UNDER 37 CFR 1.10 5 1 0 1 Date of Deposit

| Form PTO-1390 U. S. Department of Commerce Patent and Tradem (REV 10-95) |  |  | ATTORNEY'S DOCKET NUMBER   |  |  |
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|  |  | <b>HP/2-21867/US/A/PCT</b> U.S. APPLICATION NO. (If known, see 37 CFR 1.5)   |  |  |  |
|  | TARGETTAL LETTER TO THE ORITED STATES  |  |  |  |  |
|  | DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  09/830787  |  |  |  |  |
|  | NATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED  |  |  |
|  | P 99/07981<br>OF INVENTION   | 21 October 1999  | 2 November 1998  |  |  |
|  | sation of body-care and housel   | hold products  |  |  |  |
|  | CANT(S) FOR DO/EO/US   |  |  |  |  |
| Dietm  | ar Hüglin, Thomas Ehlis, Erich I   | Kramer and Joseph Anthony L  | upia   |  |  |
| Applicar   | nt herewith submits to the United States [   | Designated/Elected Office (DO/EO/US) t   | he following items and other information:  |  |  |
|  | until the expiration of the applicable tim A proper Demand for International Prelification. A copy of the International Application at a.  is transmitted herewith (require b.  has been transmitted by the Inc.  is not required, as the applicat A translation of the International Applicat A translation of the Claims of the International Applicat Amendments to the claims of the International Application in the Company of the International Application in the Internation in the International Application in the Internation in the Internation in the Internation in the Internation in the Internat | submission of items concerning a filing undexamination procedures (35 U.S.C. 371(b) and PCT me limit set in 35 U.S.C. 371(b) and PCT minary Examination was made by the 19 as filed (35 U.S.C. 371(c)(2)) and only if not transmitted by the International Bureau. (See attached Formition was filed in the United States Receivation into English 35.U.S.C. 371(c)(2)). actional Application under PCT Article 19 irred only if not transmitted by the International Bureau.  The time limit for making such amendment be made.  Claims under PCT Article 19 (35 U.S.C. 371(c)(4)). | (f) at any time rather than delay examination Articles 22 and 39 (1). With month from the earliest claimed priority onal Bureau).  m PCT/IB/308)  ring Office (RO/US).  (35 U.S.C.371(c)(3)).  ational Bureau).  ents has NOT expired. |  |  |
| Items 1  | 1. to 16. below concern document(s) o  | r information included.  |  |  |  |
| 11. 🗆  | An Information Disclosure Statement un   | ider 37 CFR 1.97 and 1.98.   |  |  |  |
| 12. 🗆  | An assignment document for recording.  | A separate cover sheet in compliance   | with 37 CFR 3.28 and 3.31 is included.   |  |  |
| 13. 🗹  | A FIRST preliminary amendment. A SECOND or SUBSEQUENT prelimina  | ary amendment.   |  |  |  |
| 14. 🏻  | A substitute specification.  |  |  |  |  |
| 15. 🛘  | A change of power of attorney and/or ad  | ddress letter.   |  |  |  |

Other items or information: (See attached Form PCT/ISA/210)

| 0:5. AFTE   | 09/8   | <u>์ รีก๊ว็</u> ล ๋ | 7 PC         | CT/EP 99/07981   |                |              | 1867/US/A/PCT          |              |
|---|--|---------------------|--------------|--|----------------|--------------|------------------------|--------------|
| .17. ☑  | The following for  |                     |              |  |                |              | CALCULATIONS           | PTO USE ONLY |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):   |  |                     |              |  |                |              |                        |              |
| , (   | Search Report ha   | s been prepare      | ed by the    | EPO or JPO   |                | \$860.00     |                        |              |
| 1   | nternational preli   | minary examina      | ation fee    | paid to USPTO (37 CFR 1.                                       | 482)           |              |                        |              |
|   |  |                     |              |  |                | \$690.00     |                        |              |
|   |  |                     |              | ee paid to USPTO (37 CFI<br>O (37 CFR 1.445(a)(2))             |                | \$750.00     |                        |              |
|   |  |                     |              | tion fee (37 CFR 1.482) no<br>(2)) paid to USPTO               |                | \$1000.00    |                        |              |
|   |  |                     |              | paid to USPTO (37 CFR 1.<br>Article 33(2)-(4)                  |                | \$100.00     |                        |              |
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| 10.00   | CLAIMS   | NUMBER              |              | NUMBER EXTRA   | RAT            |              |                        |              |
| Total cla   |  | 32                  | - 20 =       | 12   | X \$18         |              | \$216.00               |              |
|   | ndent claims   | 2                   | -3 =         | 0  | X \$80         |              | \$                     |              |
| - Con 100   | PLE DEPENDEN   | I CLAIM(S) (If      | <del></del>  | <del></del>  | + \$27         |              | \$                     | :<br>        |
| 4.  |  |                     |              | TOTAL OF ABOVE O   |                |              | \$1,076.00             |              |
|   | on of 1/2 for filing<br>(Note 37 CFR 1.  |                     | y, if applic | cable. Verified Small Entity                                   |                |              | \$                     |              |
|   |  | ·                   |              | <del></del>  |                | OTAL =       | \$1,076.00             |              |
|   | sing fee of \$130.0<br>from the earliest   |                     |              | lish translation later than 7 CFR 1.492(f)).                   | □ 20 □         | 30<br>+      | \$                     |              |
|   |  |                     |              | TOTAL N  | ATIONAL F      | EE =         | \$1,076.00             |              |
|   |  |                     |              | CFR 1.21(h)). The assignm<br>CFR 3.28, 3.31). <b>\$40.00</b> p |                | +            | \$                     |              |
| Politic Control   |  |                     |              |  | EES ENCL       | OSED =       | \$                     |              |
|   |  |                     |              |  |                |              | Amount to be: refunded | \$           |
|   |  |                     |              |  |                | i            | charged                | \$1,076.00   |
| а. 🗆  | A check in the a   | amount of \$        |              | to cover the above fe  | es is enclosed | i.           |                        | , -,         |
| b. 🗹  | Please charge my Deposit Account No. 03-1935 in the amount of \$1,076.00 to cover the above fees.  A duplicate copy of this sheet is enclosed. |                     |              |  |                |              |                        |              |
| с. 🗹  | The Commissio  | ner is hereby a     | authorized   | l to charge any additional f                                   | ees which ma   | y be require | ed, or credit any ov   | erpayment to |
|   | Deposit Accoun   | it No. 03-1935.     | A duplic     | ate copy of this sheet is en                                   | closed.        |              |                        |              |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. |  |                     |              |  |                |              |                        |              |
| PLEASE  | PLEASE ASSOCIATE THE ATTACHED APPLICATION WITH CUSTOMER NUMBER 000324 AND SEND ALL CORRESPONDENCE TO:  |                     |              |  |                |              |                        |              |
| JoAnn Vi<br>Patent [  | JoAnn Villamizar, Ciba Specialty Chemicals Corporation Patent Department SIGNATURE   |                     |              |  | ld_            |              |                        |              |
| 540 White Plains Road P.O. Box 2005  Tagaytown, NY 10591,9005  Kevin T. Mansfie   |  |                     |              |  | . Mansfiel     | <u>d</u>     |                        |              |
| MAY   | Tarrytown, NY 10591 9005 NAME  MAY U L 2001 Reg. No. 31,635  |                     |              |  |                |              |                        |              |

### CASE HP/2-21867/US/A/PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Group Art Unit: Not Yet Assigned

DIETMAR HÜGLIN ET AL

Examiner: Not Yet Assigned

APPLICATION NO: Not Yet Assigned

FILED: Concurrently Herewith

FOR: STABILISATION OF BODY-CARE AND

HOUSEHOLD PRODUCTS

Assistant Commissioner for Patents Washington, D.C. 20231

# **PRELIMINARY AMENDMENT**

Dear Sir:

Applicants present the instant Preliminary Amendment for entry and consideration in order to place the instant continuation application in better condition for examination on its merits and for allowance.

The Commissioner is authorized to charge any fee due, or credit any overcharge, as a result of this Preliminary Amendment to Deposit Account No. 03-1935.

Please amend the above-identified patent application, without prejudice, as follows:

#### **IN THE CLAIMS:**

Cancel claims 1-32.

Insert new claims 33-64.

--33. (new) A method of stabilizing body-care and household products which comprises incorporating into a body-care or household product a phenolic antioxidant of formula

(1) 
$$\begin{array}{c} R_2 \\ HO \\ (R_1)_a \end{array}$$
 (Q)  $\begin{array}{c} C \\ C \\ \end{array}$  (Q)  $\begin{array}{c} C \\ C \\ \end{array}$  (T)  $\begin{array}{c} C \\ C \\ \end{array}$  ; and/or

(2) 
$$\begin{array}{c} R_2 \\ HO \end{array} \begin{array}{c} CH_3 \\ CH_3 \end{array} \begin{array}{c} CH_3 \\ CH_3 \end{array} \begin{array}{c} CH_3 \\ CH_3 \end{array} \begin{array}{c} A_1 \\ A_2 \end{array} \begin{array}{c} A_1 \\ A_2 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \end{array} \begin{array}{c} A_2 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \end{array} \begin{array}{c} A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \end{array} \begin{array}{c} A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \end{array} \begin{array}{c} A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \end{array} \begin{array}{c} A_2 \\ A_3 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \\ A_3 \end{array} \begin{array}{c} A_1 \\ A_2 \\ A_3 \\ A_3 \\ A_3 \\ A_4 \\ A_3 \\ A_3 \\ A_4 \\ A_3 \\ A_4 \\ A_3 \\ A_4 \\ A_4 \\ A_2 \\ A_3 \\ A_3 \\ A_4 \\ A_5 \\$$

(a<sub>2</sub>) an antioxidant of formula

$$(3) \qquad \qquad R_2 \qquad \qquad H \qquad \qquad R_4 \qquad \qquad \\ (R_1)_a \qquad \qquad R_5 \qquad \qquad \\$$

wherein in formulae (1), (2) and (3)

 $R_1 \quad \text{ is hydrogen; } C_1\text{-}C_{22}\text{alkyl; } C_1\text{-}C_{22}\text{alkylthio; } C_5\text{-}C_7\text{cycloalkyl; phenyl; } C_7\text{-}C_9\text{phenylalkyl; or } SO_3M;$ 

R<sub>2</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; C<sub>5</sub>-C<sub>7</sub>cycloalkyl; phenyl; or C<sub>7</sub>-C<sub>9</sub>phenylalkyl;

Q is  $-C_mH_{2m}$ -;  $-C_mH_{2m}$ -NH; a radical of formula  $C_mH_{2m+1}$ 

(1a) 
$$\begin{array}{c} OH \\ R_2 \\ H_3C \\ CH_2 \end{array}$$
;

T is  $-C_nH_{2n}$ -;  $-(CH_2)_n$ -O- $CH_2$ -;  $-C_nH_{2n}$ -NH—C— ; or a radical of formula

V is -O-; or -NH-;

a is 0; 1; or 2;

b, c and d are each independently of one another 0; or 1;

e is an integer from 1 to 4;

f is an integer from 1 to 3; and

m, n and p are each independently of one another an integer from 1 to 3;

if e = 1, then

 $R_3 \quad \text{ is M; hydrogen; } C_1\text{-}C_{22}\text{alkyl; } C_5\text{-}C_7\text{cycloalkyl; } C_1\text{-}C_{22}\text{alkylthio; } C_2\text{-}C_{18}\text{alkenyl; } C_1\text{-}C_{18}\text{phenylalkyl; } C_1\text{-}C_{18}\text{phenylalkyl; } C_2\text{-}C_{18}\text{alkenyl; } C_2\text{-}$ 

a radical of formula (1d) 
$$-V$$

$$N = V$$

$$N = V$$

$$S - C_p H_{2p+1}$$

$$S - C_p H_{2p+1}$$

(1e) 
$$C_{p}H_{2p+1}$$
  $C_{p}H_{2p+1}$   $C_{p}H_{3}C_{p+1}$   $C_{p}H_{3}C_{p}H_{3}C_{p+1}$   $C_{p}H_{3}C_{p+1}$   $C_{p}H_{3}C_{p}H_{3}C_{p+1}$   $C_{p}H_{3}C_{p}H_{3}C_{p+1}$   $C_{p}H_{3}C_{p}H_$ 

M is alkali; ammonium;

if e = 2, then

 $R_3$  is a direct bond;  $-CH_2$ -; -CH- $(CH_2)_p$ - $CH_3$ ; -O-; or -S-;

if e = 3, then

R<sub>3</sub> is the radical of formula (1g)

(1i) 
$$CH-(CH_2)_p$$
  $CH$  ; or (1k)  $O$ 

if e = 4, then

$$R_3$$
 is  $-C$ —; or a direct bond; and

 $R_4$  and  $R_5$  are each independently of the other hydrogen; or  $C_1$ - $C_{22}$ alkyl.

- 34. (new) A method according to claim 33, wherein in formula (1)
- Q is  $-C_mH_{2m}$ , wherein m is as defined in claim 33.
- 35. (new) A method according claim 33, wherein Q is a methylene or ethylene radical.
- 36. (new) A method according to claim 33, wherein V is -O-.
- 37. (new) A method according to claim 33, wherein  $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_{18}$ alkyl.
- 38. (new) A method according to claim 37, wherein  $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_5$ alkyl.
- 39. (new) A method according to claim 33, wherein a is 1.
- 40. (new) A method according to claim 33, which comprises incorporating an antioxidant of formula

(2) 
$$\begin{array}{c|c} R_2 & & \\ \hline & &$$

wherein

R₁ and R₂ are each independently of the other C₁-C₅alkyl,

- a is 1 or 2; and
- R<sub>3</sub>, Q, V, T, b, c, d and e are as defined in claim 33.
- 41. (new) A method according to claim 40, wherein

 $R_1$  and  $R_2$  are the tert-butyl radical; and a is 1.

42. (new) A method according to claim 40, which comprises incorporating an antioxidant of formula

(3) 
$$\begin{bmatrix} R_2 & 0 \\ Q - C - O - T \end{bmatrix}_{2}$$

wherein

 $R_1$  and  $R_2$  are each independently of the other  $C_1\text{-}C_5\text{-alkyl}$ ;

Q is  $-C_mH_{2m}$ ; or  $-C_mH_{2m}$ NH-;

 $R_3$  is a direct bond; -O-; -S-; -CH<sub>2</sub>-; or -CH- ;

a is 1 or 2;

m is 1 to 5;

T is  $-C_nH_{2n}$ ;  $-(CH_2)_n$ -O- $-CH_2$ ;  $-C_nH_{2n}$ -NH—C—; or a radical of formula

(1c) 
$$-C_nH_{2n}-C$$
  $O$  ;and

n is an integer from 1 to 3.

43. (new) A method according to claim 42, wherein the antioxidant is a compound of formula (3), wherein

Q is ethylene; or —CH— ;

R<sub>3</sub> is a direct bond; and

 $R_1$ ,  $R_2$ , T and a are as defined in claim 42.

44. (new) A method according to claim 33, wherein the antioxidant is a compound of formula

wherein

Q is  $-C_mH_{2m}$ -;

T is  $-C_nH_{2n}$ -;

 $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_5$ -alkyl;

R<sub>3</sub> is the radical of formula (1g); (1h); (1i); or (1k);

m and n are each independently of the other 1 to 3;

a is 1 or 2; and

b and d are each independently of the other 0 or 1.

45. (new) A method according to claim 44, wherein the antioxidant is a compound of formula

wherein

A is a radical of formula

(5a) 
$$HO$$
 $C_mH_{\overline{2m}}$ 

 $R_{1,}\ R_{2}$  and  $R_{3}$  are each independently of one another  $C_{1}\text{-}C_{5}$ alkyl; and m is 1 to 3.

46. (new) A method according to claim 44, wherein the antioxidant is a compound of formula

wherein

B is a radical of formula

(6a) 
$$HO$$
 $C_mH_{2m}$ 
 $C$ 
 $C_nH_{2n}$ 

R<sub>1</sub> and R<sub>2</sub> are each independently of the other C<sub>1</sub>-C<sub>5</sub>alkyl;

V is -O-; or -NH-;

a is 1; or 2;

m is 1 to 3; and

n is 0 to 3.

47. (new) A method according to claim 33, which comprises incorporating the phenolic antioxidants of formulae (1), (2) and (3) as individual compounds or as a mixture of several individual compounds.

48. (new) A method according to claim 33, which comprises incorporating the antioxidant or the sum of the antioxidants in a concentration of 50 to 1000 ppm.

49. (new) A method according to claim 33, which comprises incorporating the antioxidants together with tocopherol and/or tocopherol acetate.

50. (new) A method according to claim 33, which comprises incorporating the phenolic antioxidants together with light stabilisers.

51. (new) A method according to claim 50, wherein the light stabilisers used are sterically hindered amines.

52. (new) A method according to claim 50, wherein the light stabilisers used are benzotriazoles of formula

wherein

 $R_6$  is  $C_1$ - $C_{12}$ alkyl;  $C_1$ - $C_5$ alkoxy;  $C_1$ - $C_5$ alkoxycarbonyl;  $C_5$ - $C_7$ cycloalkyl;  $C_6$ - $C_{10}$ aryl; aralkyl; -SO<sub>3</sub>M; a

radical of formula (a) 
$$R_8$$
  $R_9$   $R_9$ 

 $R_8$  and  $R_9$  are each independently of the other hydrogen; or  $C_1\text{-}C_5$ alkyl;

m is 1 or 2;

n is 0 or 1;

if m = 1,

R<sub>7</sub> is hydrogen; unsubstituted or phenyl-substituted C<sub>1</sub>-C<sub>12</sub>alkyl; C<sub>6</sub>-C<sub>10</sub>aryl;

if n = 2,

 $R_2$  is a direct bond;  $-(CH_2)_p$ -; and

p is 1 to 3.

53. (new) A method according to claim 50, wherein the light stabilisers used are 2-hydroxyphenyl-triazines of formula

$$(41) \qquad \bigcup_{L_1O} \bigcup_{(L_7)_j} \bigcup_{L_2} \bigcup_{L_2} \bigcup_{L_3} \bigcup_{L_4} \bigcup_{L_4} \bigcup_{L_5} \bigcup_{L_5}$$

wherein

 $L_1$  is  $C_1$ - $C_{22}$ alkyl,  $C_2$ - $C_{22}$ alkenyl or  $C_5$ - $C_7$ cycloalkyl;

L<sub>2</sub> and L<sub>6</sub> are each independently of the other H, OH, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, halomethyl;

 $L_3$ ,  $L_5$  and  $L_7$  are each independently of one another H, OH, OL<sub>1</sub>, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, halomethyl;

L<sub>4</sub> is H, OH, OL<sub>1</sub>, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, phenyl, halomethyl;

 $L_{12}$  is  $C_1$ - $C_{22}$ alkyl, phenyl  $C_1$ - $C_5$ alkyl,  $C_5$ - $C_7$ cycloalkyl,  $OL_1$  or a group of formula

and j is 0, 1, 2 or 3.

54. (new) A method according to claim 33 in which the body-care products are for the skin and its adnexa.

55. (new) A method according to claim 54, wherein the body-care products are selected from skin-care products, bath and shower additives, preparations containing fragrances and odoriferous substances, hair-care products, dentifrices, deodorising and antiperspirant preparations, decorative preparations, light protection formulations and preparations containing active ingredients.

56. (new) A method according to claim 55, wherein the skin-care products are selected from body oils, body lotions, body gels, treatment creams, skin protection ointments, shaving preparations and skin powders.

57. (new) A method according to claim 55, wherein the preparations containing fragrances and olfactory substances are selected from scents, perfumes, toilet waters and shaving lotions.

58. (new) A method according to claim 55, wherein the hair-care products are selected from shampoos, hair conditioners, agents for styling and treating hair, perming agents, hair sprays and lacquers and hair dyeing or bleaching agents.

59. (new) A method according to claim 55, wherein the decorative preparations are selected from lipsticks, nail varnishes, eye shadows, mascara, dry and moist make-up, rouge, powders, depilatory agents and suntan lotions.

60. (new) A method according to claim 55, wherein the active ingredient-containing cosmetic formulations are selected from hormone preparations, vitamin preparations, vegetable extract preparations and antibacterial preparations.

61. (new) A method of preparation of body-care and household products which comprises incorporating into a body-care or household cleaning and treating agent a phenolic antioxidant of formula

(1) 
$$\begin{array}{c} R_2 \\ HO \\ (R_1)_a \end{array}$$
 (Q) 
$$\begin{array}{c} C \\ CH_3 \end{array}$$
 (CH<sub>3</sub> CH<sub>3</sub> CH<sub>3</sub>

(a<sub>2</sub>) an antioxidant of formula

HO

$$(3) \qquad \qquad R_2 \qquad \qquad H \qquad \qquad H_2 \qquad \qquad R_4 \qquad \qquad \\ (R_1)_a \qquad \qquad R_5 \qquad \qquad \\$$

 $(R_1)_a$ 

wherein in formulae (1), (2) and (3)

R<sub>1</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; C<sub>1</sub>-C<sub>22</sub>alkylthio; C<sub>5</sub>-C<sub>7</sub>cycloalkyl; phenyl; C<sub>7</sub>-C<sub>9</sub>phenylalkyl; or SO<sub>3</sub>M;

R<sub>2</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; C<sub>5</sub>-C<sub>7</sub>cycloalkyl; phenyl; or C<sub>7</sub>-C<sub>9</sub>phenylalkyl;

; and/or

Q is -C\_mH\_2m-; -CH- ; -C\_mH\_2m-NH; a radical of formula 
$$C_mH_{2m+1}$$

(1a) 
$$\begin{array}{c} OH \\ R_2 \\ \hline \\ H_3C \\ \hline \end{array}$$

T is 
$$-C_nH_{2n}$$
-;  $-(CH_2)_n$ -O- $CH_2$ -;  $-C_nH_{2n}$ -NH—C— ; or a radical of formula

V is -O-; or -NH-;

a is 0; 1; or 2;

b, c and d are each independently of one another 0; or 1;

e is an integer from 1 to 4;

f is an integer from 1 to 3; and

m, n and p are each independently of one another an integer from 1 to 3;

if e = 1, then

 $R_3$  is M; hydrogen;  $C_1$ - $C_{22}$ alkyl;  $C_5$ - $C_7$ cycloalkyl;  $C_1$ - $C_{22}$ alkylthio;  $C_2$ - $C_{18}$ alkenyl;  $C_1$ - $C_{18}$ phenylalkyl;

a radical of formula (1d) -V N N  $S-C_pH_{2p+1}$   $S-C_pH_{2p+1}$ 

(1e) 
$$-C \xrightarrow{C_p H_{2p+1}} \begin{bmatrix} O & H_3C & CH_3 \\ C & -O & N-CH_3 \\ H_3C & CH_3 \end{bmatrix}_2$$
; or (1f)

M is alkali; ammonium;

if e = 2, then

 $R_3$  is a direct bond;  $-CH_2$ -; -CH- $(CH_2)_p$ - $CH_3$ ; -O-; or -S-;

if e = 3, then

R<sub>3</sub> is the radical of formula (1g)

(1i) 
$$CH-(CH_2)_p$$
  $CH$  ; or (1k)  $O$ 

if e = 4, then

$$R_3$$
 is  $-C$ —; or a direct bond; and

 $R_4$  and  $R_5$  are each independently of the other hydrogen; or  $C_1\text{-}C_{22}$ alkyl.

- 62. (new) A method according to claim 33, wherein the household cleaning and treating agents are selected from washing, rinsing and dishwashing agents, shoe polishes, polishing waxes, floor detergents and polishes, metal, glass and ceramic cleaners, textile care agents, agents for removing rust, colour and stains (stain remover salt), furniture and multipurpose polishes.
- 63. (new) A body-care composition, which comprises at least one phenolic antioxidant as defined in claim 33.
- 64. (new) A household cleaning and treating agent, which comprises a phenolic antioxidant as defined in claim 33.--

#### Remarks

Upon entry of the instant Preliminary Amendment, claims 33-64 are pending. Claims 1-32 have been canceled and replaced by new claims 33-64. The originally filed use claims have been replaced by US conventional process claims. No new matter has been added.

In view of the foregoing amendments, Applicants aver that the instant claims are now in better condition for examination on the merits. Early favorable action is respectfully solicited. If minor amendments will further prosecution, Applicants request that the Examiner contact the undersigned representative.

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09/830787

## Stabilisation of body-care and household products

The present invention relates to the use of phenolic antioxidants for stabilising body-care and household products.

The product trend of recent years towards increasingly using natural substances based on oil and fat in cosmetic formulations and household products also increases the problem of the oxidative degradation of fats and oils, resulting in rancidity. Natural oils or unsaturated fatty acids are hardly ever absent from emulsions. Oxidative changes may sometimes produce reactive metabolites, for example ketones, aldehydes, acids, epoxides and lipoper-oxides.

As a result there is on the one hand an undesirable change in the smell of the products and on the other hand substances may be obtained which may alter the skin tolerance. The uncontrolled formation of free radicals on the skin contributes primarily to the initiation and progression of a multitude of pathophysical modulations, for example inflammation, cancerogenesis and the like.

However, oxidative degradation processes are not only found in the case of natural substances based on oil and fat. They are also found in a number of other cosmetic ingredients, such as fragrances and odoriferous substances, vitamins, colourants and the like.

To prevent oxidative degradation processes (photooxidation, autooxidation), so-called antioxidants (AO) are therefore used in cosmetic and food products. These antioxidants may be classified into compounds which prevent oxidation (complex formers, reducing agents and the like) and into compounds which interrupt the free radical chain reactions, for example butylated hydroxytoluene (BHT), butylated hydroxyanisol (BHA), gallates, such as propylgallate (PG), or t-butylhydroquinone (TBHQ). However, the latter compounds often do not meet the requirements with respect to pH stability as well as to light and temperature stability.

Surprisingly, it has been found that certain phenolic antioxidants meet these requirements.

Accordingly, this invention relates to the use of phenolic antioxidants of formula

(1) 
$$\begin{array}{c|c} R_2 & \hline \\ HO & \hline \\ (R_1)_a & \end{array}$$
 (Q)<sub>b</sub>  $\begin{array}{c|c} C & \hline \\ C & \hline \\ \end{array}$  ; and/or

(2) 
$$R_2$$
  $CH_3$   $CH_3$   $CH_3$  ; and/or  $(R_1)_a$ 

(3) 
$$R_2$$
  $H$   $R_4$   $R_5$ 

wherein in formulae (1), (2) and (3)

R<sub>1</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; C<sub>1</sub>-C<sub>22</sub>alkylthio; C<sub>5</sub>-C<sub>7</sub>cycloalkyl; phenyl; C<sub>7</sub>-C<sub>9</sub>phenylalkyl; or SO<sub>3</sub>M;

 $R_2$  is  $C_1$ - $C_{22}$ alkyl;  $C_5$ - $C_7$ cycloalkyl; phenyl; or  $C_7$ - $C_9$ phenylalkyl;

Q is  $-C_mH_{2m}$ -;  $-C_mH_{2m}$ -NH; a radical of formula  $C_mH_{2m+1}$ 

(1a) 
$$R_2$$
  $(R_1)_a$   $H_3C$   $CH_2$ 

T is 
$$-C_nH_{2n}$$
-;  $-(CH_2)_n$ -O- $CH_2$ -;  $-C_nH_{2n}$ -NH—C— ; or a radical of

formula (1c) 
$$-C_nH_{2n}$$
  $C_{CH_3}$   $C_{CH_3}$ 

V is -O-; or -NH-;

a is 0; 1; or 2;

b, c and d are each independently of one another 0; or 1;

e is an integer from 1 to 4;

f is an integer from 1 to 3; and

m, n and p are each independently of one another an integer from 1 to 3;

if e = 1, then

 $R_3 \quad \text{ is hydrogen; M; C}_1-C_{22}\text{alkyl; C}_5-C_7\text{cycloalkyl; C}_1-C_{22}\text{alkylthio; C}_2-C_{18}\text{alkenyl; C}_1-C_{18}-C_{18}\text{alkenyl; C}_1-C_{18}\text{alkenyl; C}_1-C_{18$ 

(1e) 
$$-C_{p}H_{2p+1}$$
  $C_{p}H_{2p+1}$   $C_{p}H_{2p+1}$   $C_{p}H_{3}$ 

M is alkali; ammonium;

if e = 2, then

$$R_3$$
 is a direct bond;  $-CH_2$ -;  $-CH-(CH_2)_p$ - $-CH_3$ ;  $-O$ -; or  $-S$ -;

if

e = 3, then

R<sub>3</sub> is the radical of formula (1g)

$$R_4$$
  $R_4$   $R_4$ 

(1i) 
$$CH-(CH_2)_p-CH-$$
; or (1k)

if

e = 4, then

 $R_3$  is -C—; or a direct bond;

 $R_4$  and  $R_5$  are each independently of the other hydrogen; or  $C_1$ - $C_{22}$ alkyl; for stabilising body-care and household products.

C<sub>1</sub>-C<sub>22</sub>Alkyl is straight-chain or branched alkyl radicals, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, sec-butyl, tert-butyl, amyl, isoamyl or tert-amyl, heptyl, octyl, isooctyl, nonyl, decyl, undecyl, dodecyl, tetradecyl, pentadecyl, hexadecyl, heptadecyl, octadecyl or eicosyl.

C<sub>1</sub>-C<sub>22</sub>Alkylthio is straight-chain or branched alkylthio radicals, such as methylthio, ethylthio, n-propylthio, isopropylthio, n-butylthio, sec-butylthio, tert-butylthio, amylthio, heptylthio, octylthio, isooctylthio, nonylthio, decylthio, undecylthio, dodecylthio, tetradecylthio, pentadecylthio, hexadecylthio, heptadecylthio, octadecylthio or eicosylthio.

 $C_2$ - $C_{18}$ Alkenyl is, for example, allyl, methallyl, isopropenyl, 2-butenyl, 3-butenyl, isobutenyl, n-penta-2,4-dienyl, 3-methyl-but-2-enyl, n-oct-2-enyl, n-dodec-2-enyl, isododecenyl, n-dodec-2-enyl or n-octadec-4-enyl.

C<sub>5</sub>-C<sub>7</sub>Cycloalkyl is cyclopentyl, cycloheptyl or, preferably, cyclohexyl.

C<sub>7</sub>-C<sub>9</sub>Phenylalkyl is phenylpropyl, phenylethyl and, preferably, benzyl.

It is preferred to use antioxidants of formula (1), wherein

Q is -C<sub>m</sub>H<sub>2m</sub>- and, preferably, a methylene or ethylene radical, and

m has the meaning given in formula (1).

V in formula (1) is preferably -O-.

Particularly interesting compounds of formula (1) are those, wherein  $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_1$ 8alkyl and, in particular,  $C_1$ - $C_5$ alkyl.

Other important compounds of formula (1) are those, wherein

a is 1.

Very particularly interesting compounds are those of formula

(2) 
$$\begin{array}{c|c} R_2 & \hline \\ HO & \hline \\ (R_1)_a & \hline \end{array}$$

wherein

 $R_1$  and  $R_2$  are each independently of the other  $C_1\text{-}C_5$ alkyl,

a is 1 or 2; and

R<sub>3</sub>, Q, V, T, b, c, d and e have the meanings cited for formula (1).

Preferred compounds are those of formula (1), wherein  $R_1$  and  $R_2$  are the tert-butyl radical; and

a is 1.

It is also preferred to use antioxidants of formula

(3) 
$$\begin{bmatrix} R_2 & O \\ HO & Q - C - O - T \end{bmatrix}_{2}$$

wherein

 $R_1$  and  $R_2$  are each independently of the other  $C_1\text{-}C_5alkyl;$ 

Q is 
$$-C_mH_{2m}$$
-; or  $-C_mH_{2m}$ -NH- ;

 $R_3$  is a direct bond; -O-; -S-; -CH<sub>2</sub>-; or -CH- ;

a is 1 or 2;

m is 1 to 5; and

T has the meaning cited in formula (1).

Interesting compounds of formula (1) are those, wherein

Q is ethylene; or  $-CH_3$ 

R<sub>3</sub> is a direct bond; and

 $R_1$ ,  $R_2$ , T and a have the meanings given in formula (3).

Likewise preferred are compounds of formula

(4) 
$$R_{2}$$
  $Q_{b}$   $C-O-(T)_{d}$   $R_{3}$   $R_{3}$ 

wherein

Q is  $-C_mH_{2m}$ -;

T is  $-C_nH_{2n}$ -;

 $R_1$  and  $R_2$  are each independently of the other  $C_1\text{-}C_5$ alkyl;

 $R_3$  is the radical of formula (1g); (1h); (1i); or (1k);

m and n are each independently of the other 1 to 3;

a is 1 or 2; and

b and d are each independently of the other 0 or 1.

Other antioxidants which are preferably used conform to formula

wherein

A is a radical of formula

(5a) 
$$HO$$
  $C_mH_{\overline{2m}}$ 

 $R_1$ ,  $R_2$  and  $R_3$  are each independently of one another  $C_1$ - $C_5$ alkyl; and m is 1 to 3.

Other preferred antioxidants are those of formula

$$(6) \qquad \begin{array}{c} B \\ N \\ N \end{array} \qquad \begin{array}{c} O \\ N \\ O \end{array}$$

wherein

B is a radical of formula

(6a) 
$$HO$$

$$C_mH_{2m}$$

$$CV-C_nH_{2n}$$

 $R_1$  and  $R_2$  are each independently of the other  $C_1\text{-}C_5$ alkyl;

V is -O-; or -NH-;

a is 1; or 2;

m is 1 to 3; and

n is 0 to 3.

Examples of antioxidants used according to this invention are listed in Table 1:

| Table 1:            |  |
|---------------------|--|
| compound of formula |  |
| (7)                 | OH OH OH CH <sub>3</sub>                               |
| (8)                 | $\begin{array}{ c c c c c c c c c c c c c c c c c c c$ |

| Table 1:            |  |
|---------------------|--|
| compound of formula |  |
| (9)                 | H CH <sub>3</sub>                                      |
| (10)                | $(CH_2)_2$ $NH$ $(CH_2)_3$ $2$                         |
| (11)                | $\begin{array}{ c c c c c c c c c c c c c c c c c c c$ |
| (12)                | но   |

| Table 1:            |   |
|---------------------|---|
| compound of formula |   |
| (13)                | $H_3C$ $CH_3$ $R = CH_2$ $OH$                         |
| (14)                | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$ |
| (15)                | OH  H <sub>3</sub> C — CH <sub>2</sub> OH  OH  2      |
| (16)                | OH OH CH <sub>3</sub> $n = 1-3$                       |

| Table 1:            |  |
|---------------------|--|
| compound of formula |  |
| (17)                | OH OH OH CH <sub>3</sub>                               |
| (18)                | OH<br>S-C <sub>8</sub> H <sub>17</sub>                 |
| (19)                | $\begin{array}{ c c c c c c c c c c c c c c c c c c c$ |
| (20)                | H <sub>17</sub> C <sub>8</sub> -S                      |

| Table 1:            |  |
|---------------------|--|
| compound of formula |  |
| (21)                | $C_4H_9$ $C$ |
| (22)                | O     C   O   C   16   H <sub>33</sub>   |
| (23)                | HO C   |
| (24)                | $(CH_2)_2$ $NH$  |

| Table 1:       |   |
|----------------|---|
| compound of    |   |
| <u>formula</u> |   |
| (25)           | $\begin{array}{c c} & & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & \\ & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\$ |
| (26)           | $\begin{array}{ c c c c c c c c c c c c c c c c c c c$  |
| (27)           | HO H <sub>3</sub> C H <sub>3</sub> C OH   |
| (28)           | HO $(CH_2)_2$ $C$ $M = H$ , ammonium, alkali  |
| (29)           | OH OH   |

| Table 1:            |  |
|---------------------|--|
| compound of formula |  |
| (30)                | HO $(CH_2)_2$ $NH$ $SO_3M$ $M = H, Na$ |
| (31)                | O $O$ $O$ $O$ $O$ $O$ $O$ $O$ $O$ $O$  |
| (32)                | HO———————————————————————————————————— |
| (33)                | HO CH <sub>2</sub> OH                  |

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The phenolic antioxidants of formulae (1), (2) and (3) can be used as individual compounds or as mixtures of several individual compounds.

The antioxidants used according to this invention have pronounced reactivity and can therefore be advantageously used at low temperatures. They furthermore have good hydrolytic stability, in particular in alkaline medium. Owing to their good solubility, they can be easily incorporated into the respective formulations.

The phenolic antioxidants of formulae (1), (2) and (3) can also be used together with tocopherol and/or tocopherol acetate.

The phenolic antioxidants of formulae (1), (2) and (3) can furthermore also be used together with light stabilisers.

Suitable light stabilisers are, for example, sterically hindered amines.

These include preferably a 2,2,6,6-tetraalkylpiperidine derivative containing at least one group of formula

(34) 
$$G$$
-CH<sub>2</sub>  $G$ -CH<sub>3</sub>  $G$ -CH<sub>2</sub>  $G$ -CH<sub>3</sub>  $G$ -CH<sub>2</sub>  $G$ -CH<sub>2</sub>  $G$ -CH<sub>3</sub>  $G$ -CH<sub>3</sub>

wherein G, G<sub>1</sub> and G<sub>2</sub> are each independently of one another hydrogen or methyl, preferably hydrogen.

Examples of tetraalkylpiperidine derivatives which can be used according to this invention are to be found in EP-A-356677, pages 3-17, paragraphs a) to f). The cited paragraphs of this EP-A are regarded as part of the present description. It is particularly useful to employ the following tetraalkylpiperidine derivatives:

bis(2,2,6,6-tetramethylpiperidin-4-yl)sebacate, bis(2,2,6,6-tetramethylpiperidin-4-yl)succinate, bis(1,2,2,6,6-pentamethylpiperidin-4-yl)sebacate, bis(1-octyloxy-2,2,6,6-tetramethylpiperidin-4-yl)sebacate, n-butyl-3,5-di-tert-butyl-4-hydroxybenzylmalonic acid-bis(1,2,2,6,6-pentamethylpiperidyl)ester, the condensate of 1-hydroxyethyl-2,2,6,6-tetramethyl-4-hydroxy-

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piperidine and succinic acid, the condensate of N,N'-bis(2,2,6,6-tetramethyl-4-piperidyl)hexamethylenediamine and 4-tert-octylamino-2,6-dichloro-1,3,5-s-triazine, tris(2,2,6,6-tetramethyl-4-piperidyl)nitrilotriacetate, tetrakis(2,2,6,6-tetramethyl-4-piperidyl)-1,2,3,4-butanetetraoate, 1,1'-(1,2-ethanediyl)-bis(3,3,5,5-tetramethylpiperazinone), 4-benzoyl-2,2,6,6-tetramethylpiperidine, 4-stearyloxy-2,2,6,6-tetramethylpiperidine, bis(1,2,2,6,6-pentamethylpiperidyl)-2n-butyl-2-(2-hydroxy-3,5-di-tert-butylbenzyl)malonate, 3-n-octyl-7,7,9,9-tetramethyl-1,3,8-triazaspiro[4.5]decane-2,4-dione, bis(1-octyloxy-2,2,6,6-tetramethylpiperidyl)sebacate, bis(1octyloxy-2,2,6,6-tetramethylpiperidyl)succinate, the condensate of N,N-bis(2,2,6,6-tetramethyl-4-piperidyl)hexamethylenediamine and 4-morpholino-2,6-dichloro-1,3,5-triazine, the condensate of 2-chloro-4,6-di(4-n-butylamino-2,2,6,6-tetramethylpiperidyl)-1,3,5-triazine and 1,2-bis(3-aminopropylamino)ethane, the condensate of 2-chloro-4,6-di(4-n-butylamino-1,2,2,6,6-pentamethylpiperidyl)-1,3,5-triazine and 1,2-bis(3-aminopropylamino)ethane, 8acetyl-3-dodecyl-7,7,9,9-tetramethyl-1,3,8-triazaspiro[4.5]decane-2,4-dione, 3-dodecyl-1-(2,2,6,6-tetramethyl-4-piperidyl)pyrrolidin-2,5-dione, 3-dodecyl-1-(1,2,2,6,6-pentamethyl-4piperidyl)-pyrrolidine-2,5-dione, a mixture of 4-hexadecyloxy- and 4-stearyloxy-2,2.6,6-tetramethylpiperidine, the condensate of N,N'-bis(2,2,6,6-tetramethyl-4-piperidyl)hexamethylenediamine and 4-cyclohexylamino-2,6-dichloro-1,3,5-triazine, the condensate of 1,2-bis/3-aminopropylamino)ethane and 2,4,6-trichloro-1,3,5-triazine and 4-butylamino-2,2,6,6-tetramethylpiperidine (CAS reg. No. [136504-96-6]); (2,2,6,6-tetramethyl-4-piperidyl)-n-dodecylsuccinimide, (1,2,2,6,6-pentamethyl-4-piperidyl)-n-dodecylsuccinimide, 2-undecyl-7,7,9,9tetramethyl-1-oxa-3,8-diaza-4-oxo-spiro[4,5]decane, the reaction product of 7.7.9.9-tetramethyl-2-cycloundecyl-1-oxa-3,8-diaza-4-oxospiro[4,5]decane and epichlorohydrin, tetra-(2,2,6,6-tetramethylpiperidin-4-yl)-butane-1,2,3,4-tetracarboxylate, tetra(1,2,2,6,6-pentamethylpiperidin-4-yl)-butane-1,2,3,4-tetracarboxylate, 2,2,4,4-tetramethyl-7-oxa-3,20-diaza-21oxo-dispiro[5.1.11.2] -heneicosan, 8-acetyl-3-dodecyl-1,3,8-triaza-7,7,9,9-tetramethylspiro-[4,5] -decane-2,4-dione, or a compound of formulae

(36) 
$$H_3C \xrightarrow{NH} CH_3 H_3C \xrightarrow{NH} CH_3 CH_3$$

wherein m has a value of 5-50.

(39) 
$$\begin{array}{c|c} OH \\ CH_2 - CH - CH_2 \\ CH_2 - (CH_2)_9 \end{array}$$

R = H or CH<sub>3</sub>

or

(40)

 $R = H \text{ or } CH_3$ 

It is also possible to use the inventive antioxidants of formulae (1), (2) and (3) together with benzotriazoles of formula

In formula (42),

 $R_6$  is  $C_1$ - $C_{12}$ alkyl;  $C_1$ - $C_5$ alkoxy;  $C_1$ - $C_5$ alkoxycarbonyl,  $C_5$ - $C_7$ cycloalkyl;  $C_6$ - $C_{10}$ aryl; aralkyl;

 $R_8$  is hydrogen;  $C_1$ - $C_5$ alkyl;  $C_1$ - $C_5$ alkoxy; halogen, preferably CI; or hydroxy  $R_9$  and  $R_{10}$  are each independently of the other hydrogen; or  $C_1$ - $C_5$ alkyl;

m is 1 or 2;

n is 0 or 1;

if m = 1, then

R<sub>7</sub> is hydrogen; unsubstituted or phenyl-substituted C<sub>1</sub>-C<sub>12</sub>alkyl; C<sub>6</sub>-C<sub>10</sub>aryl;

Α

В

if m = 2, then

 $R_7$  is a direct bond; -(CH<sub>2</sub>)<sub>p</sub>-; and

p is 1 to 3.

The inventive antioxidants of formulae (1), (2) and (3) can also be used together with hydroxyphenyltriazine compounds of formula

wherein

L<sub>1</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl, C<sub>2</sub>-C<sub>22</sub>alkenyl or C<sub>5</sub>-C<sub>7</sub>cycloalkyl;

L<sub>2</sub> and L<sub>6</sub> are each independently of the other H, OH, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, halomethyl;

L<sub>3</sub>, L<sub>5</sub> and L<sub>7</sub> are each independently of one another H, OH, OL<sub>1</sub>, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, halomethyl;

L<sub>4</sub> is H, OH, OL<sub>1</sub>, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, phenyl, halomethyl;

L<sub>12</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl, phenyl C<sub>1</sub>-C<sub>5</sub>alkyl, C<sub>5</sub>-C<sub>7</sub>cycloalkyl, OL<sub>1</sub> or, preferably a group of formula

$$\begin{array}{c} L_6 \\ L_2 \\ L_3 \end{array}; \text{and}$$

is 0, 1, 2 or 3.

j

If L-substituents are defined as alkyl or alkenyl, or if they are aromatic or aliphatic ring systems, then these contain within the scope of the cited meanings usually 1 to 50 carbon atoms and can be interrupted once or several times by O, S, NR', SO<sub>2</sub>, CO, phenylene,

cyclohexylene, COO, OCO, -(SiR<sub>p</sub>R<sub>q</sub>O)- and/or substituted once or several times by OH, OR', NR'R", halogen, -CN, alkenyl, phenyl, -SiR<sub>p</sub>R<sub>q</sub>R<sub>r</sub> or COOH, where R' and R" are each independently of the other H, alkyl, alkenyl or acyl, and R<sub>p</sub>, R<sub>q</sub> and R<sub>r</sub> are each independently of the other H, alkyl, alkenyl, phenyl, alkoxy, acyl or acyloxy.

The above groups can also carry further substituents. Dimers or polymers are also possible.

Preferred 2-hydroxyphenyltriazines of this class are, for example, those of formulae

wherein in formula (44)

n is 1 or 2, and

 $L_1$ , where n=1, is alkyl or alkyl which is interrupted by one or several O and/or substituted by one or several of the radicals OH, glycidyloxy, alkenoxy, COOH, COOR<sup>e</sup>, O-CO-R<sup>f</sup>; or alkenyl, cycloalkyl; phenylalkyl which is unsubstituted or substituted by OH, CI or CH<sub>3</sub>; COR<sup>g</sup>;  $SO_2$ -R<sup>h</sup>; CH<sub>2</sub>CH(OH)-R<sup>j</sup>; where

R<sup>e</sup> is alkyl; alkenyl; hydroxyalkyl; alkyl or hydroxyalkyl which is interrupted by one or several O; cycloalkyl; benzyl; alkylphenyl; phenyl; phenylalkyl; furfuryl; or CH₂CH(OH)-R<sup>i</sup>;

R<sup>f</sup>, R<sup>g</sup> are each independently of the other alkyl, alkenyl or phenyl;

R<sup>h</sup> is alkyl, aryl or alkylaryl;

R<sup>j</sup> is aralkyl or CH₂OR<sup>k</sup>;

R<sup>k</sup> is cyclohexyl, phenyl, tolyl, benzyl; and

L<sub>1</sub>, where n = 2, is alkylene; alkenylene; xylylene; alkylene or hydroxyalkylene which is interrupted by one or several -O-; hydroxyalkylene;

L<sub>2</sub> and L'<sub>2</sub> are each independently of the other H, alkyl or OH;

 $L_4$  and  $L_4'$  are each independently of the other H, alkyl, OH, alkoxy, halogen and, where n = 1, OL<sub>1</sub>;

L<sub>3</sub> and L'<sub>3</sub> are each independently of the other H, alkyl or halogen.

L<sub>1</sub>, L<sub>2</sub>, L'<sub>2</sub>, L<sub>3</sub>, L'<sub>3</sub>, L<sub>4</sub>, L'<sub>4</sub> can within the scope of the cited meanings carry additional substituents, for example an ethylenically unsaturated polymerisable group. Dimers or polymers are also possible.

Examples of such compounds are, inter alia,

- 2,4,6-tris(2-hydroxy-4-octyloxyphenyl)-1,3,5-triazine,
- 2-(2,4-dihydroxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
- 2,4-bis(2-hydroxy-4-propyloxyphenyl)-6-(2,4-dimethylphenyl)-1,3,5-triazine,
- 2-(2-hydroxy-4-octyloxyphenyl)-4,6-bis(4-methylphenyl)-1,3,5-triazine,
- 2-(2-hydroxy-4-dodecyloxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
- 2-[2-hydroxy-4-(2-hydroxy-3-butyloxypropyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
- 2-[2-hydroxy-4-(2-hydroxy-3-octyloxypropyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
- 2-[2-hydroxy-4-(2-hydroxy-3-tridecyloxy-propyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine; and compounds of the following formulae:

|                        | OH N N L <sub>2</sub> L <sub>1</sub> O  L <sub>2</sub> L <sub>4</sub> L <sub>2</sub> L <sub>4</sub> |                 |            |                   |
|------------------------|---|-----------------|------------|-------------------|
| compound<br>of formula | <u>L</u> 1  | <u>L</u> 2      | <u>L</u> 4 | <u>L</u> 3        |
| (45)                   | CH <sub>2</sub> CH(OH)CH <sub>2</sub> O-CO-C(CH <sub>3</sub> )=CH <sub>2</sub>                      | CH₃             | CH₃        | Н                 |
| (46)                   | $CH_2CH(OH)CH_2OC_{12}H_{25}/C_{13}H_{27}$ (mixture)  | CH <sub>3</sub> | CH₃        | Н                 |
| (47)                   | CH₂CH(OH)CH₂O-C₄H₂(n)   | CH₃             | CH₃        | Н                 |
| (48)                   | CH <sub>2</sub> COO-C <sub>18</sub> H <sub>37</sub>   | Н               | Н          | m-CF <sub>3</sub> |

|                        | OH N N L <sub>3</sub>  |                 |                 |                      |
|------------------------|--|-----------------|-----------------|----------------------|
| compound<br>of formula | <u>L</u> 1   | <u>L</u> 2      | La              | <u>L</u> 3           |
| (49)                   | C <sub>8</sub> H <sub>17</sub>   | CH₃             | CH <sub>3</sub> | H                    |
| (50)                   | CH₂CH(OH)CH(C₂H₅)-C₄H₂(n)  | CH <sub>3</sub> | CH <sub>3</sub> | H                    |
| (51)                   | Н  | CH₃             | CH <sub>3</sub> | Н                    |
| (52)                   | CH₂CH₂OH   | Н               | H               | H                    |
| (53)                   | C <sub>6</sub> H <sub>13</sub>   | Н               | H               | H                    |
| (54)                   | C <sub>18</sub> H <sub>37</sub>  | CH₃             | CH <sub>3</sub> | o-CH <sub>3</sub>    |
| (55)                   | CH₂CH(OH)CH₂O-C₄H₃(n)  | H               | H               | Н                    |
| (56)                   | CH(OH)-C₅H₁₁(n)  | CH₃             | CH₃             | o-CH <sub>3</sub>    |
| (57)                   | C <sub>8</sub> H <sub>17</sub>   | Н               | CI              | Н                    |
| (58)                   | CH(CH <sub>3</sub> )-COO-C <sub>2</sub> H <sub>5</sub>                     | CH <sub>3</sub> | CH <sub>3</sub> | o-CH <sub>3</sub>    |
| (59)                   | $CH_2CH(OCOCH_3)CH(C_2H_5)-C_4H_9(n)$                                      | H               | Н               | Н                    |
| (60)                   | CH₂CH(OH)CH(C₂H₅)-C₄H₀(n)  | Н               | Н               | Н                    |
| (61)                   | CH <sub>2</sub> CH <sub>2</sub> -O-CO-C(CH <sub>3</sub> ) <sub>3</sub>     | Н               | Н               | Н                    |
| (62)                   | Н  | H               | Н               | Н                    |
| (63)                   | (CH <sub>2</sub> ) <sub>10</sub> COO-C <sub>2</sub> H <sub>5</sub>         | Н               | CI              | Н                    |
| (64)                   | (CH <sub>2</sub> )₅COOH  | H               | Н               | Н                    |
| (65)                   | CH₂CH(C₂H₅)-C₄H₅(n)  | H               | Н               | Н                    |
| (66)                   | CH <sub>2</sub> CH(OH)CH <sub>2</sub> -O-C <sub>4</sub> H <sub>9</sub> (n) | Н               | Н               | t-C₄H <sub>9</sub> H |
| (67)                   | CH <sub>2</sub> CH(OH)CH <sub>2</sub> -O-C <sub>4</sub> H <sub>9</sub> (n) | Н               | Н               | OCH <sub>3</sub> H   |
| (68)                   | (CH <sub>2</sub> ) <sub>3</sub> -Si(CH <sub>3</sub> ) <sub>3</sub>         | Н               | H               | H                    |
| (69)                   | cyclohexyl   |                 |                 |                      |
| (70)                   | CH <sub>2</sub> CH(OH)CH <sub>2</sub> -O-2-butyl/2-pentyl (mixture)        |                 |                 |                      |
| (71)                   | CH₂CH(OH)CH₂-O-C₄H₀(r  |                 |                 |                      |

|                    |  |                                  |                                  |  | ·           |
|--------------------|--|----------------------------------|----------------------------------|--|-------------|
|                    | OH N N N N N N N N N N N N N N N N N N N   | -2 L <sub>3</sub> L <sub>4</sub> |                                  |  |             |
| compound           | <u>L</u> <sub>1</sub>  |                                  | L2                               | <u>L</u> <sub>4</sub>                  | <u>L</u> 3  |
| of formula<br>(72) | (CHo)voC   | COO-C-H                          |                                  |  |             |
| (73)               | (CH <sub>2</sub> ) <sub>10</sub> COO-C <sub>2</sub> H <sub>5</sub><br>C <sub>4</sub> H <sub>9</sub>              |                                  |                                  |  |             |
| (74)               | $CH_2CH(OH)CH(C_2H_5)-C_4H_9(n)$   |                                  |                                  |  |             |
| (75)               | CH(C <sub>3</sub> H <sub>7</sub> ) <sub>2</sub>  |                                  |                                  |  |             |
| (76)               | cyclopentyl  |                                  |                                  |  |             |
| (77)               | C(CH <sub>3</sub> ) <sub>2</sub> -COO-C <sub>2</sub> H <sub>5</sub>  |                                  |                                  |  |             |
| (78)               | CH(CH <sub>3</sub> )-COO-C <sub>2</sub> H <sub>5</sub>   |                                  |                                  |  |             |
| (79)               | (CH <sub>2</sub> ) <sub>5</sub> -CH <sub>3</sub>   |                                  |                                  |  |             |
| (80)               | CH <sub>3</sub>  |                                  | OCH <sub>3</sub>                 | · · · · · · · · · · · · · · · · · · ·  | <del></del> |
| (81)               | CH <sub>2</sub> CH(OCOCH <sub>3</sub> )CH(C <sub>2</sub> H <sub>5</sub> )-C <sub>4</sub> H <sub>9</sub> (n)      | OC                               | H <sub>2</sub> CH <sub>2</sub> O | C <sub>2</sub> H <sub>5</sub>          |             |
| (82)               | CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -O-CO-C <sub>2</sub> H <sub>5</sub>                              |                                  |                                  |  |             |
| (83)               | CH <sub>2</sub> CH(OH)CH <sub>2</sub> -O-C <sub>4</sub> H <sub>9</sub> (n)                                       |                                  |                                  |  |             |
| (84)               | CH <sub>2</sub> CH(OH)CH <sub>2</sub> -O-C <sub>4</sub> H <sub>9</sub> (n)                                       |                                  | OCH <sub>3</sub>                 | ······································ |             |
| (85)               | n-C <sub>10</sub> H <sub>21</sub><br> -CH <sub>2</sub> -CH <sub>2</sub> -CH<br>n-C <sub>12</sub> H <sub>25</sub> |                                  |                                  |  |             |
| (86)               | iso-C <sub>8</sub> H <sub>38</sub>   |                                  |                                  |  |             |
| (87)               | n-C <sub>6</sub> H <sub>13</sub><br>—CH <sub>2</sub> -CH <sub>2</sub> -CH<br>n-Octyl                             |                                  |                                  |  |             |
| (88)               | n-C <sub>18</sub> H <sub>37</sub>  |                                  |                                  |  |             |
| (89)               | 2-ethylhexyl   |                                  |                                  |  |             |

Abbreviations used in the above formulae:

i = isomeric mixture; n = straight-chain radical; t = tertiary radical; o-, m-, p- designate the position of the radical relative to the triazine ring.

Examples of benzotriazole compounds which may be used in accordance with this invention:

(94) 
$$H_3C C_2H_5 CH_3 CH_3 C_2H_5$$

In addition, the inventive antioxidants of formulae (1), (2) and (3) can also be used together with complex formers, in particular nitrogen-containing complex formers, for example ethylenediaminetetracetic acid (EDTA), nitrilotriacetic acid (NTA),  $\beta$ -alaninediacetic acid (EDETA) or ethylenediaminedisuccinic acid (EDDS).

Other suitable complex formers conform to formula

(96) 
$$Q_1 \longrightarrow Q_3$$

wherein

 $Q_1$ , is  $Carb_1$ ;  $Carb_2$ ; or a radical of formula  $-(CH_2)_{m=1}$ -OH

Q<sub>2</sub> is hydrogen or Carb<sub>2</sub>; and

Q<sub>3</sub> is Carb<sub>3</sub>; an amino acid radical; or a radical of formula (96a)

wherein Carb<sub>1</sub>, Carb<sub>2</sub> and Carb<sub>3</sub> are each independently of one another the radical of a C<sub>1</sub>-C<sub>8</sub>mono- or dicarboxylic acid; and

 $m_1$  is 1 to 5.

Particularly preferred compounds are those of formula (96), wherein

- $Q_1$  is a monocarboxylic acid; or a radical of formula (96b) -(CH<sub>2</sub>)<sub>m<sub>1</sub></sub>-OH ;
- Q<sub>2</sub> is hydrogen or a monocarboxylic acid; and
- Q<sub>3</sub> is formula (96b); or a monocarboxylic acid.

Particularly interesting complex formers are those of formula (96), wherein Carb<sub>2</sub> and Carb<sub>3</sub> are each independently of the other the radical of formula

$$(96c) - [(CH2)]_{n_1} - COOH$$
,

wherein

n<sub>1</sub> is 0 to 5.

Complex formers which are important in practice are those conforming to formula

or to formula

Nitrilotriacetic acid (NTA) is also suitable for use.

Other examples of complex formers which may be used according to this invention are aminetrimethylenephosphoric acid (ATMP) conforming to formula

serinediacetic acid (SDA) conforming to formula

asparaginediacetic acid conforming to formula

methylglycinediacetic acid (MGDA) conforming to formula

Other suitable complex formers are polyanionically-derived natural polysaccharides, for example containing phosphate, phosphonate or methylphosphonate groups, such as chitin derivatives, e.g. sulfochitin, carboxymethylchitin, phosphochitin, chitosan derivatives, for example sulfochitosan, carboxymethylchitosan or, very particularly preferably, phosphochitosan, which conform to formula

(103) 
$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ R_{11} & R_{12} & 0 \end{bmatrix}$$

wherein

R<sub>11</sub> is hydrogen or a radical of formula

(103a) 
$$X_1 - O - P = O ;$$
  
 $O - X_2$ 

R<sub>12</sub> is a radical of formula (1a);

X<sub>1</sub> and X<sub>2</sub> are each independently of the other hydrogen, C<sub>1</sub>-C<sub>5</sub>alkyl or an alkali ion or ammonium ion; and

n is 10 to 4000.

The antioxidants of formulae (1), (2) and (3) as well as mixtures of these compounds with light stabilisers or complex formers are particularly suitable for stabilising body-care products, in particular used for skin-care products, bath and shower additives, preparations containing fragrances and odoriferous substances, hair-care products, dentifrices, deodorising and antiperspirant preparations, decorative preparations, light protection formulations and preparations containing active ingredients.

Suitable skin-care products are, in particular, body oils, body lotions, body gels, treatment creams, skin protection ointments, shaving preparations, such as shaving foams or gels, skin powders, such as baby powder, moisturising gels, moisturising sprays, revitalising body sprays, cellulite gels and peeling preparations.

Preparations containing fragrances and odoriferous substances are in particular scents, perfumes, toilet waters and shaving lotions (aftershave preparations).

Suitable hair-care products are, for example, shampoos for humans and animals, in particular dogs, hair conditioners, products for styling and treating hair, perming agents, hair sprays and lacquers, hair gels, hair fixatives and hair dyeing or bleaching agents.

Suitable dentifrices are in particular tooth creams, toothpastes, mouth-washes, mouth rinses, anti-plaque preparations and cleaning agents for dentures.

Suitable decorative preparations are in particular lipsticks, nail varnishes, eye shadows, mascaras, dry and moist make-up, rouge, powders, depilatory agents and suntan lotions.

Suitable cosmetic formulations containing active ingredients are in particular hormone preparations, vitamin preparations, vegetable extract preparations and antibacterial preparations. The cited body-care products can be in the form of creams, ointments, pastes, foams, gels, lotions, powders, make-ups, sprays, sticks or aerosols. They preferably contain the antioxidants of formulae (1) and/or (2) and/or (3) and, optionally, the above light stabilisers in the oil phase or in the aqueous or aqueous/alcoholic phase.

This invention therefore also relates to a body-care product containing at least one phenolic antioxidant of formula (1) and/or (2) and/or (3).

The antioxidant(s) are usually present in the novel body-care product in a concentration of 50 to 1000 ppm.

Creams are oil-in-water emulsions containing more than 50 % of water. The oil-containing base used therein is usually mainly fatty alcohols, for example lauryl, cetyl or stearyl alcohol, fatty acids, for example palmitic or stearic acid, liquid to solid waxes, for example isopropyl-myristate or beeswax and/or hydrocarbon compounds, such as paraffin oil. Suitable emulsifiers are surfactants having primarily hydrophilic properties, such as the corresponding non-ionic emulsifiers, for example fatty acid esters of polyalcohols of ethylene oxide adducts, such as polyglycerol fatty acid ester or polyoxyethylenesorbitan fatty acid ether (Tween trademarks); polyoxyethylene fatty alcohol ether or their esters or the corresponding ionic emulsifiers, such as the alkali metal salts of fatty alcohol sulfonates, sodium cetyl sulfate or sodium stearyl sulfate, which are usually used together with fatty alcohols, such as cetyl alcohol or stearyl alcohol. In addition, creams contain agents which reduce water loss during evaporation, for example polyalcohols, such as glycerol, sorbitol, propylene glycol, and/or polyethylene glycols.

Ointments are water-in-oil emulsions which contain up to 70 %, preferably not more than 20 to 50 %, of water or of an aqueous phase. The oil-containing phase contains predominantly hydrocarbons, such as paraffin oil and/or solid paraffin which preferably contains hydroxy compounds, for example fatty alcohol or their esters, such as cetyl alcohol or wool wax for improving the water absorption. Emulsifiers are corresponding lipophilic substances, such as sorbitan fatty acid ester. In addition, the ointments contain moisturisers such as polyalcohols, for example glycerol, propylene glycol, sorbitol and/or polyethylene glycol as well as preservatives.

Dody sore product

Rich creams are anhydrous formulations and are produced on the basis of hydrocarbon compounds, such as paraffin, natural or partially synthetic fats, for example coconut fatty acid triglycerides or, preferably, hardened oils and glycerol partial fatty acid esters.

Pastes are creams and ointments containing powdered ingredients which absorb secretions, for example metal oxides, such as titanium dioxide or zinc oxide, and also tallow and/or aluminium silicates which bind the moisture or the absorbed secretion.

Foams are liquid oil-in-water emulsions in aerosol form. Hydrocarbon compounds are used, inter alia, for the oil-containing phase, for example paraffin oil, fatty alcohols, such as cetyl alcohol, fatty acid esters, such as isopropylmyristate and/or waxes. Suitable emulsifiers are, inter alia, mixtures of emulsifiers having predominantly hydrophilic properties, for example polyoxyethylenesorbitan fatty acid ester, and also emulsifiers having predominantly lipophilic properties, for example sorbitan fatty acid ester. Commercially available additives are usually additionally employed, for example preservatives.

Gels are, in particular, aqueous solutions or suspensions of active substances in which gel formers are dispersed or swelled, in particular cellulose ethers, such as methyl cellulose, hydroxyethyl cellulose, carboxymethyl cellulose or vegetable hydrocolloids, for example sodium alginate, tragacanth or gum arabic. The gels preferably additionally contain also polyalcohols, such as propylene glycol or glycerol as moisturisers and wetting agents, such as polyoxyethylenesobitan fatty acid ester. The gels furthermore contain commercially available preservatives, such as benzyl alcohol, phenethyl alcohol, phenoxyethanol and the like.

The following Table lists typical examples of body-care products of this invention and their ingredients:

to avendi auto

| Body-care product  | ingredients   |
|--------------------|---|
| moisturising cream | vegetable oil, emulsifier, thickener, perfume, water, antioxidant |
| shampoo            | surfactant, emulsifier, preservatives, perfume, antioxidant       |
|                    |   |
| toothpaste         | cleaning agent, thickener, sweetener, flavour, colourant,         |
|                    | antioxidant, water  |
|                    |   |

The start of the s

lip-care stick

vegetable oil, wax, TiO2, antioxidant

The novel body-care products have high stability towards colour changes and chemical degradation of the ingredients present in these products. This is to be attributed to the effectiveness, colour stability, ease of incorporation and hydrolytic stability of the antioxidants used.

The phenolic antioxidants are also used in household cleaning and treatment agents, for example in liquid scouring agents, glass detergents, neutral cleaners (all-purpose cleaners), acid household cleaners (bath), WC cleaners, preferably in washing, rinsing and dishwashing agents, clear rinsing agents, dishwasher detergents, shoe polishes, polishing waxes, floor detergents and polishes, metal, glass and ceramic cleaners, textile-care agents, agents for removing rust, colour and stains (stain remover salt), furniture and multipurpose polishes and leather dressing agents (leather sprays).

Typical examples of novel household cleaning and treating agents are:

| Н | Οŧ | 10 | ام | h | n! | Ы |
|---|----|----|----|---|----|---|
|   | Οt | 15 | u  | ш | U  | u |

cleaners/household treating

<u>agents</u>

Ingredients

detergent concentrate

surfactant mixture, ethanol, antioxidant, water

shoe polish

wax, wax emulsifier, antioxidant, water, preservative

wax-containing floor

emulsifier, wax, sodium chloride, antioxidant, water,

cleaning agent

preservative

The antioxidant(s) are usually incorporated by dissolution in an oil phase or alcoholic or water phase, where required at elevated temperature. Details can be found in the Examples.

The phenolic antioxidants of formulae (1), (2) and (3) also have pronounced antimicrobial action.

The following Examples illustrate the invention.

# Preparation of stabilised formulations of body-care products

#### Example 1a: Preparation of a moisturiser cream

| <u>Phase</u> | Ingredients                 | (w/w) % |
|--------------|-----------------------------|---------|
| Α            | passionflower oil           | 8       |
|              | glyceryl dioleate           | 4       |
|              | dicapryl ether              | 4       |
|              | isopropylisostearate        | 4       |
|              | antioxidant of formula (31) | 0.05    |
| В            | water, demin.               | ad. 100 |
|              | EDTA .                      | 0.1     |
| С            | carbomer                    | 0.15    |
| D            | sodium hydroxide            | 10%     |
|              |                             | 0.20    |
| E            | perfume; preservative       | q.s.    |

<u>Preparation</u>: The components (A) are thoroughly mixed in a homogeniser for 10 min at 75-80°C. The water (B), likewise heated to 75-80°C beforehand, is slowly added and the mixture is homogenised for 1 min. The mixture is cooled, with stirring, to 40°C and then (C) and (E) are added and the mixture is homogenised for 1 min. Subsequently, (D) is added and the mixture is homogenised for 1/2 min and cooled, with stirring, to room temperature.

Alternatively to the antioxidant of the formulae (31) the following antioxidants can be applied (0.05 %):

Example 1b: antioxidant of the formula (7)
Example 1c: antioxidant of the formula (32)
Example 1d: antioxidant of the formula (33)

## Example 2: Preparation of a toilet water (w/w) %

| Ingredients  | (w/w) % |
|--------------|---------|
| ethanol, 96% | 60      |
| d-limonene   | 5       |
| cedrene      | 1.5     |

| citronellol                 | 0.5     |
|-----------------------------|---------|
| savin                       | 0.5     |
| antioxidant of formula (29) | 0.08    |
| UV absorber of formula (91) | 0.1     |
| S,S-EDDS                    | 0.005   |
| colourant (D&C Yellow No.5) | 0.02    |
| water                       | ad. 100 |

<u>Preparation</u>: The components are thoroughly mixed in the cited sequence at 50°C, a clear homogeneous solution being obtained.

Example 3: Preparation of a hair styling spray

| Ingredients  | (w/w) % |
|--|---------|
| alcohol, anhydrous   | 96.21   |
| octylacrylamide/acrylate/butylaminoethylmethacrylate copolymer | 2.52    |
| hydroxypropyl cellulose  | 0.51    |
| aminomethylpropanol (95%)                                      | 0.46    |
| antioxidant of formula (33)                                    | 0.05    |
| benzophenone-4   | 0.05    |
| perfume oil  | 0.20    |

<u>Preparation</u>: The hydroxypropyl cellulose is first predissolved in half of the alcohol (Vortex mixer) and is charged with the aminomethylpropanol. The other components - with the exception of the acrylate resin - are dissolved in alcohol and this solution is added, with stirring, to the hydroxypropyl cellulose. Subsequently, the acrylate resin is added and stirred until completely dissolved.

Example 4: Preparation of a shampoo for greasy hair

| <u>Ingredients</u>                | <u>(w/w) %</u> |
|-----------------------------------|----------------|
| sodium myreth sulfate             | 50.00          |
| TEA abietoyl collagen hydrolysate | 3.50           |
| laureth-3                         | 3.00           |
| colourant (D&C Red No. 33)        | 0.20           |
| antioxidant of formula (29)       | 0.05           |

| UV absorber of formula (92)          | 0.15    |
|--------------------------------------|---------|
| phosphonomethylchitosan, sodium salt | 0.01    |
| perfume oil                          | 0.10    |
| water                                | ad. 100 |

<u>Preparation</u>: The components are mixed, with stirring, at room temperature until they are completely dissolved. The pH is 6.5.

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## Preparation of stabilised household products

## Example 5: Preparation of a leather dressing and cleaning agent

| <u>Ingredients</u>                                | (w/w) % |
|---|---------|
| synthetic soap (Zetesap 813)                      | 7.85    |
| glycerol  | 6.00    |
| anionic surfactant (Lumorol 4192; Mulsifan RT 13) | 22.00   |
| Vaseline  | 11.00   |
| paraffin 52/54                                    | 20.00   |
| talcum  | 2.00    |
| orange terpene                                    | 4.00    |
| antioxidant of formula (33)                       | 0.02    |
| water   | 27.13   |

<u>Preparation</u>: The antioxidant is predissolved in the terpene. The components are then stirred in the cited sequence at about 65°C until homogeneous. The mixture is then cooled to room temperature.

## Example 6: Preparation of a glass detergent

| Ingredients                                   | (w/w) % |
|---|---------|
| anionic / amphoteric surfactants (Lumorol RK) | 0.7     |
| butyl glycol                                  | 5.0     |
| isopropanol                                   | 20.0    |
| d-limonene                                    | 4.00    |
| antioxidant of formula (32)                   | 0.02    |
| water, demin.                                 | ad. 100 |

<u>Preparation</u>: The antioxidant is predissolved in the terpene. The components are then dissolved in the cited sequence until a clear homogeneous mixture is obtained.

; and/or

## What is claimed is:

1. Use of phenolic antioxidants of formulae

(1) 
$$\begin{array}{c} HO \\ (Q)_{b} \\ (R_{1})_{a} \end{array}$$
 (2) 
$$\begin{array}{c} (Q)_{b} \\ (Q)_{b} \\ (Q)_{c} \\ (Q$$

(a2) an antioxidant of formula

HO

(3) 
$$R_2$$
  $R_4$   $R_5$ 

(R<sub>1</sub>)<sub>a</sub>

wherein in formulae (1), (2) and (3)

R<sub>1</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; C<sub>1</sub>-C<sub>22</sub>alkylthio; C<sub>5</sub>-C<sub>7</sub>cycloalkyl; phenyl; C<sub>7</sub>-C<sub>9</sub>phenylalkyl; or SO<sub>3</sub>M;

 $R_2$  is  $C_1$ - $C_{22}$ alkyl;  $C_5$ - $C_7$ cycloalkyl; phenyl; or  $C_7$ - $C_9$ phenylalkyl;

Q is 
$$-C_mH_{2m}$$
-;  $-C_mH_{2m}$ -NH; a radical of formula  $C_mH_{2m+1}$ 

(1a) 
$$OH$$
  $P_2$   $(R_1)_a$  ;  $H_3C$   $CH_2$ 

T is 
$$-C_nH_{2n}$$
-;  $-(CH_2)_n$ -O- $CH_2$ -;  $-C_nH_{2n}$ -NH—C— ; or a radical of

formula (1c) 
$$-C_nH_{2n}$$
  $CH_3$   $CH_3$   $CH_3$ 

V is -O-; or -NH-;

a is 0; 1; or 2;

b, c and d are each independently of one another 0; or 1;

e is an integer from 1 to 4;

f is an integer from 1 to 3; and

m, n and p are each independently of one another an integer from 1 to 3;

if e = 1, then

R<sub>3</sub> is M; hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; C<sub>5</sub>-C<sub>7</sub>cycloalkyl; C<sub>1</sub>-C<sub>22</sub>alkylthio; C<sub>2</sub>-C<sub>18</sub>alkenyl; C<sub>1</sub>-C<sub>18</sub>-

phenylalkyl; a radical of formula (1d) 
$$-V - \bigvee_{N = -C_p H_{2p+1}}^{S-C_p H_{2p+1}} ;$$

(1e) 
$$-C \xrightarrow{C_p H_{2p+1}} \begin{bmatrix} O & H_3C & CH_3 \\ C & N - CH_3 \\ H_3C & CH_3 \end{bmatrix}_2$$
; or (1f)  $R_1$ 

M is alkali; ammonium;

if e = 2, then

 $R_3$  is a direct bond;  $-CH_2$ -; -CH- $(CH_2)_p$ - $CH_3$ ; -O-; or -S-;

if

e = 3, then

 $R_3$  is the radical of formula (1g) ; (1h)  $R_4$ 

(1i) 
$$CH-(CH_2)_p$$
  $CH$  ; or (1k)  $CH$ 

if

e = 4, then

 $R_4$  and  $R_5$  are each independently of the other hydrogen; or  $C_1$ - $C_{22}$ alkyl; for stabilising body-care and household products.

2. Use according to claim 1, wherein in formula (1)

Q is 
$$-C_mH_{2m}$$
-,

wherein

m has the meaning cited in claim (1).

3. Use according to either claim 1 or claim 2, wherein

Q is a methylene or ethylene radical.

4. Use according to any one of claims 1 to 3, wherein

V is -O-.

5. Use according to any one of claims 1 to 4, wherein

 $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_{18}$ alkyl.

6. Use according to claim 5, wherein

R<sub>1</sub> and R<sub>2</sub> are each independently of the other C<sub>1</sub>-C<sub>5</sub>alkyl.

7. Use according to either claim 1, claim 5 or claim 6, wherein

a is 1.

8. Use according to claim 1, which comprises using an antioxidant of formula

(2) 
$$\begin{bmatrix} R_2 \\ HO - Q)_b & C - V \\ (R_1)_a \end{bmatrix}_{e}$$

wherein

R<sub>1</sub> and R<sub>2</sub> are each independently of the other C<sub>1</sub>-C<sub>5</sub>alkyl,

a is 1 or 2; and

R<sub>3</sub>, Q, V, T, b, c, d and e have the meanings cited in claim 1.

9. A composition according to claim 8, wherein

R<sub>1</sub> and R<sub>2</sub> are the tert-butyl radical; and

a is 1.

10. Use according to claim 1, which comprises using an antioxidant of formula

(3) 
$$R_2$$
  $Q - C - C - T - R_3$   $(R_1)_a$ 

wherein

 $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_5$ -alkyl;

Q is  $-C_mH_{2m}$ ; or  $-C_mH_{2m}$  NH-;

 $${\rm CH_3}$$  |  ${\rm R_3}$  | is a direct bond; -O-; -S-; -CH<sub>2</sub>-; or  $-{\rm CH}--$  ;

a is 1 or 2;

m is 1 to 5; and

T has the meaning cited in claim 1.

11. Use according to claim 10, wherein the antioxidant is a compound of formula (3), wherein

Q is ethylene; or —CH—

R<sub>3</sub> is a direct bond; and

R<sub>1</sub>, R<sub>2</sub>, T and a have the meaning given in claim 10.

12. Use according to claim 1, wherein the antioxidant is the compound of formula

(4) 
$$\begin{bmatrix} R_2 & 0 & 0 \\ (Q)_b & C - O - (T)_d & R_3 \end{bmatrix}$$

wherein

Q is  $-C_mH_{2m}$ -;

T is  $-C_nH_{2n}$ -;

 $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_5$ -alkyl;

 $R_3$  is the radical of formula (1g); (1h); (1i); or (1k);

m and n are each independently of the other 1 to 3;

a is 1 or 2; and

b and d are each independently of the other 0 or 1.

13. Use according to claim 12, wherein the antioxidant is a compound of formula

(5) 
$$R_3$$
  $R_3$   $R_3$  ,

wherein

A is a radical of formula

 $R_{1}$ ,  $R_{2}$  and  $R_{3}$  are each independently of one another  $C_{1}$ - $C_{5}$ alkyl; and m is 1 to 3.

14. Use according to claim 12, wherein the antioxidant is a compound of formula

wherein

B is a radical of formula

(6a) 
$$HO$$

$$C_mH_{\overline{2m}}$$

$$C_mH_{\overline{2m}}$$

R<sub>1</sub> and R<sub>2</sub> are each independently of the other C<sub>1</sub>-C<sub>5</sub>alkyl;

V is -O-; or -NH-;

a is 1; or 2;

m is 1 to 3; and

n is 0 to 3.

- 15. Use according to any one of claims 1 to 14, which comprises using the phenolic antioxidants of formulae (1), (2) and (3) as individual compounds or as a mixture of several individual compounds.
- 16. Use according to any one of claims 1 to 15, which comprises using the antioxidant or the sum of the antioxidants in a concentration of 50 to 1000 ppm.
- 17. Use according to any one of claims 1 to 16, which comprises using the antioxidants together with tocopherol and/or tocopherol acetate.
- 18. Use according to any one of claims 1 to 17, which comprises using the phenolic antioxidants together with light stabilisers.
- 19. Use according to claim 18, wherein the light stabilisers used are sterically hindered amines.

20. Use according to claim 18, wherein the light stabilisers used are benzotriazoles of formula

wherein

 $R_6$  is  $C_1$ - $C_{12}$ alkyl;  $C_1$ - $C_5$ alkoxy;  $C_1$ - $C_5$ alkoxycarbonyl;  $C_5$ - $C_7$ cycloalkyl;  $C_6$ - $C_{10}$ aryl; aralkyl;

 $R_8$  and  $R_9\, are each independently of the other hydrogen; or <math display="inline">C_1\text{-}C_5\text{alkyl};$ 

m is 1 or 2;

n is 0 or 1;

if m = 1,

R<sub>7</sub> is hydrogen; unsubstituted or phenyl-substituted C<sub>1</sub>-C<sub>12</sub>alkyl; C<sub>6</sub>-C<sub>10</sub>aryl;

if n = 2,

 $\mathsf{R}_2$  is a direct bond; -( $\mathsf{CH}_2$ ) $_p$ -; and

p is 1 to 3.

21. Use according to claim 18, wherein the light stabilisers used are 2-hydroxyphenyltriazines of formula

$$(41) \qquad \bigcup_{L_1O} \bigcup_{(L_7)_j} \bigcup_{L_2} \bigcup_{L_3} \bigcup_{L_4} \bigcup_{L_4}$$

wherein

L<sub>1</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl, C<sub>2</sub>-C<sub>22</sub>alkenyl or C<sub>5</sub>-C<sub>7</sub>cycloalkyl;

 $L_2$  and  $L_6$  are each independently of the other H, OH, halogen,  $C_1$ - $C_{22}$ alkyl, halomethyl;

 $L_3$ ,  $L_5$  and  $L_7$  are each independently of one another H, OH, OL<sub>1</sub>, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, halomethyl;

L<sub>4</sub> is H, OH, OL<sub>1</sub>, halogen, C<sub>1</sub>-C<sub>22</sub>alkyl, phenyl, halomethyl;

 $L_{12}$  is  $C_1$ - $C_{22}$ alkyl, phenyl  $C_1$ - $C_5$ alkyl,  $C_5$ - $C_7$ cycloalkyl,  $OL_1$  or, preferably, a group of formula

$$L_{2}$$

$$L_{3}$$
; and

j is 0, 1, 2 or 3.

- 22. Use of the phenolic antioxidant according to claim 1 in body-care products for the skin and its adnexa.
- 23. Use according to claim 22, wherein the body-care products are selected from skin-care products, bath and shower additives, preparations containing fragrances and odoriferous substances, hair-care products, dentifrices, deodorising and antiperspirant preparations, decorative preparations, light protection formulations and preparations containing active ingredients.

- 24. Use according to claim 23, wherein the skin-care products are selected from body oils, body lotions, body gels, treatment creams, skin protection ointments, shaving preparations and skin powders.
- 25. Use according to claim 23, wherein the preparations containing fragrances and olfactory substances are selected from scents, perfumes, toilet waters and shaving lotions.
- 26. Use according to claim 23, wherein the hair-care products are selected from shampoos, hair conditioners, agents for styling and treating hair, perming agents, hair sprays and lacquers and hair dyeing or bleaching agents.
- 27. Use according to claim 23, wherein the decorative preparations are selected from lipsticks, nail varnishes, eye shadows, mascaras, dry and moist make-up, rouge, powders, depilatory agents and suntan lotions.
- 28. Use according to claim 23, wherein the active ingredient-containing cosmetic formulations are selected from hormone preparations, vitamin preparations, vegetable extract preparations and antibacterial preparations.
- 29. Use of the phenolic antioxidant according to claim 1 in household cleaning and treating agents.
- 30. Use according to claim 29, wherein the household cleaning and treating agents are selected from washing, rinsing and dishwashing agents, shoe polishes, polishing waxes, floor detergents and polishes, metal, glass and ceramic cleaners, textile care agents, agents for removing rust, colour and stains (stain remover salt), furniture and multipurpose polishes.
- 31. A body-care product, which comprises at least one phenolic antioxidant according to claim 1.
- 32. A household cleaning and treating agent, which comprises a phenolic antioxidant according to claim 1.

#### DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

| ☑ Origin      | nal 🗆           | Supplemental     |                       | Substitute    | ×                | PCT                                 |
|---------------|-----------------|------------------|-----------------------|---------------|------------------|-------------------------------------|
| As a belov    | w named inve    | ntor, I hereby d | eclare that:          |               |                  |                                     |
| My reside     | nce, post offic | e address and    | citizenship a         | are as stated | d below next to  | my name.                            |
| original, fi  | rst and joint i | •                | than one n            | ame is liste  | d below) of the  | ed below) or an<br>e subject matter |
| Stabilisati   | on of body-ca   | re and househol  | d products            |               |                  |                                     |
| which is d    | lescribed and   | claimed in:      |                       |               |                  |                                     |
| □ the         | attached spe    | ecification.     |                       |               |                  |                                     |
| □ the<br>file | •               |                  | tion No.<br>s amended |               | ıy/month/year)   | (if applicable).                    |
| <b>⊠</b> the  | •               |                  | Application           | No. PC        | T/EP 99/07981    |                                     |
| ass           | signed U.S. A   | pplication No.   |                       | (if a         | applicable), and | d as amended                        |
|               | under PCT       | Article 19 on    | (day/month            |               | applicable)      |                                     |
|               | under PCT       | Article 34 on    | (day/montl            |               | applicable)      |                                     |
|               | and further     | amended on       | (day/monti            |               | applicable)      |                                     |

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

CSC

| COUNTRY/REGION<br>(OR PCT)  | APPLICAȚION No.   |                      | FILING<br>(day/mon                          |                          |                                  | PRIORITY CLAIMED    |                         |                        |                               |
|---|---|----------------------|---|--------------------------|----------------------------------|---------------------|-------------------------|------------------------|-------------------------------|
| ,   |   |                      |   |                          | Г                                | , <u>,</u>          | es                      |                        | No                            |
|   |   |                      |   |                          |                                  |                     | es                      |                        | No                            |
|   |   |                      |   |                          |                                  |                     |                         |                        |                               |
|   |   |                      |   |                          |                                  |                     | es                      |                        | No                            |
|   |   |                      |   |                          |                                  |                     | es.                     |                        | No                            |
|   |   |                      |   |                          |                                  | )                   | es/                     |                        | No                            |
| I hereby claim the beneapplication(s) listed bel  |   | § 11                 | 9 (e) of any                                | / Uni                    | ted State                        | es p                | orovisi                 | ional                  |                               |
| APPLICATION NO.   |   |                      | FILING DA                                   |                          |                                  |                     |                         |                        |                               |
| 60/106,634  |   | 02/11/98             |   |                          |                                  |                     |                         |                        |                               |
| international application<br>application discloses a<br>copending application,<br>material to patentability<br>filing date of the prior<br>application: | and claims subject<br>I acknowledge the<br>and as defined in 37 C | mat<br>duty<br>3.F.R | ter in addit<br>to disclose<br>I. § 1.56 wh | ion 1<br>all in<br>ich b | to that of<br>formation<br>ecame | disc<br>on k<br>ava | losed<br>nown<br>ilable | in th<br>by m<br>betwe | e prior<br>e to be<br>een the |
| U.S. APPLICATION<br>No.   | FILING DATE<br>(day/month/year)                                   |                      |   |                          | STATU                            | s                   |                         |                        |                               |
|   |   |                      | Patented                                    |                          | Pendin                           | 9                   |                         | Aban                   | doned                         |
|   |   |                      | Patented                                    |                          | Pendin                           | 9                   |                         | Aban                   | doned                         |
|   |   |                      | Patented                                    |                          | Pendin                           | 3                   |                         | Aban                   | doned                         |
|   |   |                      | Patented                                    |                          | Pending                          | g                   |                         | Aban                   | doned                         |
|   |   |                      | Patented                                    |                          | Pendin                           | 9                   |                         | Aban                   | doned                         |
| PCT APPLICATION No. (designating the U.S.)  | INTERNATIONA<br>FILING DATE<br>(day/month/year)                   | L                    | U.S. APPLICATION STATUS<br>No.<br>(if any)  |                          |                                  |                     |                         |                        |                               |
|   |   |                      |   |                          |                                  |                     | Pate                    | ented                  |                               |
|   |   |                      |   |                          |                                  |                     | Pen                     | ding                   |                               |
|   |   |                      |   |                          |                                  |                     | Aba                     | ndone                  | d                             |

I hereby appoint the following attorneys and agents, associated with Customer No. 000324, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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J-00

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|              | Citizenship                                | German  |        |   |
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| Ž            | Full name of third joint inventor, if any  | Erich KRAMER                                      |        |   |
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|              | Inventor's signature                       | Andri   | Date . | 20/5/2001<br>(day/month/year)           |
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